



MEMORANDUM – 2026-004

TO : ALL TRADING PARTICIPANTS

SUBJECT : EMPLOYEE MOVEMENT

DATE : 05 FEBRUARY 2026

Pursuant to **Art. IV., Sec. 5 of the CMIC Rules on Director, Officer, Agent or Employee Movement**, Trading Participants shall notify CMIC of any director, officer, agent or Employee movement, whether hiring, resignation, re-designation, or termination of employment, within 30 days from effectivity thereof.

Accordingly, attached is the list of personnel movement submitted to CMIC as of 31 January 2026.

For information and guidance.

(original signed)

GERARD B. SANVICTORES

President

ACD	FHRAD	IED	SD

DATE OF EFFECTIVITY	LAST NAME	FIRST NAME	PREVIOUS POSITION	PRESENT POSITION	TRADING PARTICIPANT	REMARKS
26-Jan-26	MACUGAY	JACQUELINE O.	COMPLIANCE AND RISK ASSOCIATE	ASSOCIATED PERSON, COMPLIANCE & RISK ASSOCIATE	CHINA BANK SECURITIES CORPORATION	RE-DESIGNATION
26-Jan-26	BUMILTAC	ALDRIN	JUNIOR BACKEND DEVELOPER	-	DRAGONFI SECURITIES INC.	RESIGNATION (cleared)
26-Jan-26	SEQUITIN	GINELLE PATRICIA B.	-	CERTIFIED UITF SPECIALIST	COL FINANCIAL GROUP, INC.	NEW EMPLOYMENT
23-Jan-26	SALUNDAY	ARIANNE MARIELLE O.	-	CERTIFIED UITF SPECIALIST	COL FINANCIAL GROUP, INC.	NEW EMPLOYMENT
22-Jan-26	CHUA	DENVER G.	CERTIFIED UITF SPECIALIST	CERTIFIED FUND SPECIALIST	COL FINANCIAL GROUP, INC.	RE-DESIGNATION
22-Jan-26	GARCIA	PATRICK ARVIN M.	OUTSIDE CONTRACTOR	-	CLSA PHILIPPINES, INC.	RESIGNATION (no clearance required)
21-Jan-26	CABILES	KAYE NICOLE C.	ACCOUNTANT	-	PREMIUM SECURITIES, INC.	RESIGNATION (cleared)
21-Jan-26	BONGGAITAN	CHARLENE B.	ACCOUNTING CLERK/ ASSISTANT	ACCOUNTANT	PREMIUM SECURITIES, INC.	RE-DESIGNATION
19-Jan-26	MENDOZA	JHOANNA MAE D.	TRADING ASSISTANT	TRADER/ SALESMAN	MERCANTILE SECURITIES CORPORATION	RE-DESIGNATION
17-Jan-26	RAMOS	PATRIZIA PAULA B.	CUSTOMER SUPPORT SPECIALIST	-	COL FINANCIAL GROUP, INC.	RESIGNATION (clearance under process)
17-Jan-26	LIWANAG	CHARISSA JANE V.	HR STAFF	-	CTS GLOBAL EQUITY GROUP, INC.	RESIGNATION (clearance under process)
17-Jan-26	DIZON	DOMINADOR L.	SALESMAN	-	S. J. ROXAS & COMPANY, INC.	DECEASED
16-Jan-26	BAUTISTA	RACHELLE R.	-	ADMIN STAFF	COL FINANCIAL GROUP, INC.	NEW EMPLOYMENT
16-Jan-26	LANDAYAN	CAMILLE LOUISE C.	ACCOUNTING OFFICER	FUNDS MANAGEMENT OFFICER	FIRST METRO SECURITIES BROKERAGE CORPORATION	RE-DESIGNATION
16-Jan-26	CHUA	REX L.	VICE PRES.	-	B. H. CHUA SECURITIES CORPORATION	RESIGNATION (cleared)

DATE OF EFFECTIVITY	LAST NAME	FIRST NAME	PREVIOUS POSITION	PRESENT POSITION	TRADING PARTICIPANT	REMARKS
16-Jan-26	ORRO	ALDALIN MARELLA G.	-	ACCOUNT OFFICER	BDO SECURITIES CORPORATION	NEW EMPLOYMENT
15-Jan-26	LARAGAN	KRISHA MARIE G.	ACCOUNTING ASSISTANT	ACCOUNTANT	A.T. DE CASTRO SECURITIES CORPORATION	RE-DESIGNATION
15-Jan-26	TAN	SHIRLEY S.	-	CERTIFIED UITF SPECIALIST	COL FINANCIAL GROUP, INC.	NEW EMPLOYMENT
15-Jan-26	BALICTAR	LLOYD GETTY C.	-	CERTIFIED UITF SPECIALIST	COL FINANCIAL GROUP, INC.	NEW EMPLOYMENT
13-Jan-26	SANTARINA	MARK CRISMON V.	-	LICENSE SALESMAN	GLOBALINKS SECURITIES & STOCKS, INC.	NEW EMPLOYMENT
12-Jan-26	QUELLO	ARNEL D.	SETTLEMENT ASSOCIATE	-	PNB SECURITIES, INC.	RESIGNATION (clearance under process)
12-Jan-26	CACHO	JENNIFER M.	ACCOUNTANT	-	A.T. DE CASTRO SECURITIES CORPORATION	RESIGNATION (no clearance required)
12-Jan-26	PABELICO	PETER RAYMUND S.	SALESMAN	-	SB EQUITIES, INC.	RESIGNATION (cleared)
12-Jan-26	MASABA	JESSALYN MAE G.	-	JUNIOR SOFTWARE QA ENGINEER	DRAGONFI SECURITIES INC.	NEW EMPLOYMENT
12-Jan-26	SALON	RISTHER	-	SENIOR ACCOUNTING ASSOCIATE	DRAGONFI SECURITIES INC.	NEW EMPLOYMENT
10-Jan-26	REYES	STEPHANIE FAYE B.	LEGAL DEPUTY HEAD / ASSISTANT CORPORATE SECRETARY	LEGAL DEPUTY HEAD/ CORPORATE SECRETARY	COL FINANCIAL GROUP, INC.	RE-DESIGNATION
10-Jan-26	BOOL	LEANNE CLAIRE M.	LEGAL OFFICER	LEGAL OFFICER/ ASSISTANT CORPORATE SECRETARY	COL FINANCIAL GROUP, INC.	RE-DESIGNATION
10-Jan-26	LIM	SHARON T.	VP- HEAD OF LEGAL & COMPLIANCE/ CORPORATE	-	COL FINANCIAL GROUP, INC.	RESIGNATION (clearance under process)
10-Jan-26	LORENZO	ANN MARGARET K.	-	CORPORATE SECRETARY	CTS GLOBAL EQUITY GROUP, INC.	NEW EMPLOYMENT

DATE OF EFFECTIVITY	LAST NAME	FIRST NAME	PREVIOUS POSITION	PRESENT POSITION	TRADING PARTICIPANT	REMARKS
10-Jan-26	LIM	SHARON T.	CORPORATE SECRETARY	-	CTS GLOBAL EQUITY GROUP, INC.	RESIGNATION (no clearance required)
10-Jan-26	AQUINO	JUAN CARLOS G.	ASSOCIATED PERSON	ASSOCIATED PERSON, ASST. CORPORATE SECRETARY	CTS GLOBAL EQUITY GROUP, INC.	RE-DESIGNATION
10-Jan-26	REYES	STEPHANIE FAYE B.	ASST. CORPORATE SECRETARY	-	CTS GLOBAL EQUITY GROUP, INC.	RESIGNATION (no clearance required)
10-Jan-26	HUANG	JANINNA ALYANNA L.	CORPORATE SECRETARY	-	META CAPITAL SECURITIES, INC.	RESIGNATION (no clearance required)
10-Jan-26	FORTUNO	GILBERT JOSHUA P.	-	CORPORATE SECRETARY	META CAPITAL SECURITIES, INC.	NEW EMPLOYMENT
9-Jan-26	GOMEZ	GHERARD RUSSEL P.	UI & UX ASSOCIATE	-	PHILSTOCKS FINANCIAL, INC.	RESIGNATION (cleared)
8-Jan-26	TSAI	PATRICK VINCENT U.	CERTIFIED EQUITY SPECIALIST	CERTIFIED EQUITY AND UITF SPECIALIST	COL FINANCIAL GROUP, INC.	RE-DESIGNATION
8-Jan-26	RAMBUYON	SHERWIN D.	-	CERTIFIED UITF SPECIALIST	COL FINANCIAL GROUP, INC.	NEW EMPLOYMENT
8-Jan-26	TAN	ARLYN T.	-	CERTIFIED UITF SPECIALIST	COL FINANCIAL GROUP, INC.	NEW EMPLOYMENT
8-Jan-26	REGAL	CYNTHIA R.	-	CERTIFIED UITF SPECIALIST	COL FINANCIAL GROUP, INC.	NEW EMPLOYMENT
7-Jan-26	SANTOS	MICHAEL ANGELO G.	-	SALESMAN	AAA SOUTHEAST EQUITIES, INC.	NEW EMPLOYMENT
7-Jan-26	VERANO	BARBIE	-	SENIOR CUSTOMER SERVICE SPECIALIST	DRAGONFI SECURITIES INC.	NEW EMPLOYMENT
6-Jan-26	MINA	JEROME A.	-	IT OPERATIONS ENGINEER - ASSOCIATE	UNICAPITAL SECURITIES, INC.	NEW EMPLOYMENT
6-Jan-26	ARQUEZA	ADRIEN JULES S.	-	FINANCIAL ANALYST	CTS GLOBAL EQUITY GROUP, INC.	NEW EMPLOYMENT
5-Jan-26	ALMADRONES	AGNES V.	-	SETTLEMENTS OFFICER	CLSA PHILIPPINES, INC.	NEW EMPLOYMENT

DATE OF EFFECTIVITY	LAST NAME	FIRST NAME	PREVIOUS POSITION	PRESENT POSITION	TRADING PARTICIPANT	REMARKS
5-Jan-26	ILAO	MARK JASSON C.	-	VP - HEAD OF STRATEGIC EXECUTION	COL FINANCIAL GROUP, INC.	NEW EMPLOYMENT
5-Jan-26	MAG-ISA	IRELYN J.	-	SALES AND MARKETING ASSOCIATE	CHINA BANK SECURITIES CORPORATION	NEW EMPLOYMENT
5-Jan-26	IDOS	KURT RUSSEL M.	-	SALES AND TRADING ASSOCIATE	CHINA BANK SECURITIES CORPORATION	NEW EMPLOYMENT
2-Jan-26	DEL ROSARIO	EARL IAN W.	CUSTOMER SERVICE ASSOCIATE	-	ABACUS SECURITIES CORPORATION	RESIGNATION (clearance under process)
2-Jan-26	PINEDA	RAMON MANUEL M.	AUTHORIZED TRADER	-	ALAKOR SECURITIES CORPORATION	RESIGNATION (cleared)
2-Jan-26	ROSARIA	ROSENDA R.	OFFICE STAFF	-	ALAKOR SECURITIES CORPORATION	RESIGNATION (cleared)
2-Jan-26	RAMOS	GERARD ANTON S.	PRESIDENT/ NOMINEE & AUTHORIZED TRADER	PRESIDENT/ NOMINEE	ALAKOR SECURITIES CORPORATION	RE-DESIGNATION
2-Jan-26	GARCIA	SUNSHINE L.	ASSOCIATED PERSON	COMPLIANCE SUPERVISOR	ALAKOR SECURITIES CORPORATION	RE-DESIGNATION
2-Jan-26	VERGARA	ALBERTO A.	AUTHORIZED TRADER	OFFICE STAFF	ALAKOR SECURITIES CORPORATION	RE-DESIGNATION
2-Jan-26	CAPUNO	ELMER F.	-	HEAD OF OPERATIONS	AB CAPITAL SECURITIES, INC.	NEW EMPLOYMENT
2-Jan-26	WEE	ERICSON C.	TREASURER/ DIRECTOR/ NOMINEE/ FVP-HEAD OF OPERATIONS	TREASURER/ DIRECTOR/ NOMINEE/ FVP-OPERATIONS	AB CAPITAL SECURITIES, INC.	RE-DESIGNATION
2-Jan-26	HONRADA	MARMAN JOHN PAUL V.	TRAINEE	GENERAL CLERK	MERCANTILE SECURITIES CORPORATION	RE-DESIGNATION
2-Jan-26	DELA ROSA	KEVIN B.	-	TRAINEE - SETTLEMENT	QUALITY INVESTMENTS & SECURITIES CORP.	NEW EMPLOYMENT
1-Jan-26	TYCHICO	KRISTIN	TRADING ASSOCIATE	-	CHINA BANK SECURITIES CORPORATION	RESIGNATION (no clearance required)

DATE OF EFFECTIVITY	LAST NAME	FIRST NAME	PREVIOUS POSITION	PRESENT POSITION	TRADING PARTICIPANT	REMARKS
1-Jan-26	BELTRAN	ANDRO LEO I.	DEPARTMENT HEAD - BUSINESS DEVELOPMENT	DIVISION HEAD - DIGITAL SOLUTIONS AND INVESTOR ENGAGEMENT	FIRST METRO SECURITIES BROKERAGE CORPORATION	RE-DESIGNATION
1-Jan-26	GUTIERREZ	KRISTI MARIE R.	DIVISION HEAD - HUMAN RESOURCES AND GENERAL ADMINISTRATION	DEPARTMENT HEAD - PRODUCT MANAGEMENT	FIRST METRO SECURITIES BROKERAGE CORPORATION	RE-DESIGNATION
1-Jan-26	BILLONES	JOHN PHILIP T.	SECTION HEAD - MINDANAO BUSINESS DEVELOPMENT	SECTION HEAD - MINDANAO INVESTOR EDUCATION AND DEVELOPMENT / OIC	FIRST METRO SECURITIES BROKERAGE CORPORATION	RE-DESIGNATION
1-Jan-26	BISCOCHO	LADY DIANE P.	DEPARTMENT HEAD - PRODUCT MANAGEMENT	INVESTOR EDUCATION AND DEVELOPMENT OFFICER	FIRST METRO SECURITIES BROKERAGE CORPORATION	RE-DESIGNATION
1-Jan-26	CHAN	CHRISTINE U.	DEPARTMENT HEAD - CUSTOMER MANAGEMENT	DEPARTMENT HEAD - CUSTOMER EXPERIENCE	FIRST METRO SECURITIES BROKERAGE CORPORATION	RE-DESIGNATION
1-Jan-26	DIRAMPATUN	ISNAIRA M.	BUSINESS DEVELOPMENT ASSOCIATE	INVESTOR EDUCATION AND DEVELOPMENT ASSOCIATE	FIRST METRO SECURITIES BROKERAGE CORPORATION	RE-DESIGNATION
1-Jan-26	RAMOS	JOANA B.	BUSINESS DEVELOPMENT ASSOCIATE	INVESTOR EDUCATION AND DEVELOPMENT ASSOCIATE	FIRST METRO SECURITIES BROKERAGE CORPORATION	RE-DESIGNATION
1-Jan-26	PANGATUNGAN	MONNETH M.	BUSINESS DEVELOPMENT OFFICER	INVESTOR EDUCATION AND DEVELOPMENT OFFICER	FIRST METRO SECURITIES BROKERAGE CORPORATION	RE-DESIGNATION
1-Jan-26	AQUINO	PATRICIA NICOLE R.	EQUITY RESEARCH ASSOCIATE	-	FIRST METRO SECURITIES BROKERAGE CORPORATION	RESIGNATION (clearance under process)
1-Jan-26	UY	SHAN WALWYNE T.	-	AADP ANALYST (OUTSOURCED)	J. P. MORGAN SECURITIES PHILIPPINES, INC.	NEW EMPLOYMENT
1-Jan-26	LIM	GABRIEL U.	PRESIDENT/ DIRECTOR	-	BDO SECURITIES CORPORATION	RESIGNATION (cleared)

DATE OF EFFECTIVITY	LAST NAME	FIRST NAME	PREVIOUS POSITION	PRESENT POSITION	TRADING PARTICIPANT	REMARKS
1-Jan-26	REYES	JOHN TRISTAN GUILLERMO D.	HEAD OF INSTITUTIONAL SALES	PRESIDENT & DIRECTOR	BDO SECURITIES CORPORATION	RE-DESIGNATION
1-Jan-26	AGULO	JOHN CLEMENT R.	-	ACCOUNT OFFICER	BDO SECURITIES CORPORATION	NEW EMPLOYMENT
1-Jan-26	BALDO	AEJAN E.	-	ACCOUNT OFFICER	BDO SECURITIES CORPORATION	NEW EMPLOYMENT
1-Jan-26	EVANGELISTA	MARY CENA THERESE R.	-	ACCOUNT OFFICER	BDO SECURITIES CORPORATION	NEW EMPLOYMENT
1-Jan-26	FLORENDO	KYRA PATRICIA O.	-	ACCOUNT OFFICER	BDO SECURITIES CORPORATION	NEW EMPLOYMENT
1-Jan-26	JABALDE	GLENN IVAN P.	-	ACCOUNT OFFICER	BDO SECURITIES CORPORATION	NEW EMPLOYMENT
1-Jan-26	JIMENEZ	FERGUS KRISTAN K.	-	ACCOUNT OFFICER	BDO SECURITIES CORPORATION	NEW EMPLOYMENT
1-Jan-26	JOSE	ALFRANCIS M.	-	ACCOUNT OFFICER	BDO SECURITIES CORPORATION	NEW EMPLOYMENT
1-Jan-26	LO	JOSIAH BERNARD D.	-	ACCOUNT OFFICER	BDO SECURITIES CORPORATION	NEW EMPLOYMENT
1-Jan-26	MENDOZA	JOHN GABRIEL H.	-	ACCOUNT OFFICER	BDO SECURITIES CORPORATION	NEW EMPLOYMENT
1-Jan-26	SIA	JERMAINE KATHLEEN S.	-	ACCOUNT OFFICER	BDO SECURITIES CORPORATION	NEW EMPLOYMENT
1-Jan-26	SISON	JOSHUA JOHN G.	-	ACCOUNT OFFICER	BDO SECURITIES CORPORATION	NEW EMPLOYMENT
1-Jan-26	TULLAO	MARIANE PEARL O.	-	ACCOUNT OFFICER	BDO SECURITIES CORPORATION	NEW EMPLOYMENT
1-Jan-26	UNGSON	RICARDO RAFAEL U.	-	ACCOUNT OFFICER	BDO SECURITIES CORPORATION	NEW EMPLOYMENT
1-Jan-26	ZAPE	JAY RUEL B.	-	ACCOUNT OFFICER	BDO SECURITIES CORPORATION	NEW EMPLOYMENT

DATE OF EFFECTIVITY	LAST NAME	FIRST NAME	PREVIOUS POSITION	PRESENT POSITION	TRADING PARTICIPANT	REMARKS
1-Jan-26	LABARRETE	MARK HENRICK M.	SENIOR IT MANAGER	-	CLSA PHILIPPINES, INC.	RESIGNATION (clearance under process)
1-Jan-26	YU	RAINIER IVAN K.	JUNIOR INVESTMENT ANALYST	RESEARCH ANALYST	ABACUS SECURITIES CORPORATION	RE-DESIGNATION
1-Jan-26	PASIGAN	KATHERINE MARIE S.	HEAD OF MARKETING (ANALYST)	HEAD OF MARKETING (SENIOR ANALYST)	BPI SECURITIES CORPORATION	RE-DESIGNATION
1-Jan-26	PEÑALBA	IVY CARISSE M.	COMPLIANCE OFFICER AND ASSOCIATED PERSON (ANALYST)	COMPLIANCE OFFICER AND ASSOCIATED PERSON (SENIOR ANALYST)	BPI SECURITIES CORPORATION	RE-DESIGNATION
1-Jan-26	DE LA CRUZ	EMELINE T.	SECURITIES SETTLEMENT OFFICER (SENIOR SPECIALIST)	SECURITIES SETTLEMENT OFFICER (ANALYST)	BPI SECURITIES CORPORATION	RE-DESIGNATION
1-Jan-26	CHONGCO	SOFIA MITZI ROSE	CORPORATE ACTIONS ASSOCIATE	CORPORATE ACTIONS SPECIALIST	BPI SECURITIES CORPORATION	RE-DESIGNATION
1-Jan-26	MESA	ALGIBB L.	-	HEAD OF ACCOUNTING	BPI SECURITIES CORPORATION	NEW EMPLOYMENT
1-Jan-26	LIMOSA	VIOLA E.	ACCOUNTING OFFICER	ACCOUNTING SUPERVISOR	PHILSTOCKS FINANCIAL, INC.	RE-DESIGNATION
1-Jan-26	REMODARO	JEANALYN R.	ACCOUNTING OFFICER	ACCOUNTING SUPERVISOR	PHILSTOCKS FINANCIAL, INC.	RE-DESIGNATION
1-Jan-26	ONTOG	RACHEL ANNE B.	CAIF CUSTODIAN	SETTLEMENT OFFICER	PHILSTOCKS FINANCIAL, INC.	RE-DESIGNATION
1-Jan-26	DOMINGO	CHRISTOPHER L.	UI & UX SR. SUPERVISOR	-	PHILSTOCKS FINANCIAL, INC.	RESIGNATION (cleared)
1-Jan-26	BARON	EMMANUEL C.	IT OPERATIONS SPECIALIST	-	PHILSTOCKS FINANCIAL, INC.	RESIGNATION (cleared)
1-Jan-26	DUGANG	JOFFEL T.	SOFTWARE ENGINEER	-	PHILSTOCKS FINANCIAL, INC.	RESIGNATION (cleared)
1-Jan-26	DALIDA	LEONEL E.	SOFTWARE ENGINEER	-	PHILSTOCKS FINANCIAL, INC.	RESIGNATION (cleared)

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1-Jan-26	ELEDIA	PATRICK THOMAS M.	IT OPERATION MANAGER	-	PHILSTOCKS FINANCIAL, INC.	RESIGNATION (cleared)
1-Jan-26	LOPEZ	MARY ROSE G.	HR MANAGER	HR AND ADMIN MANAGER	PHILSTOCKS FINANCIAL, INC.	RE-DESIGNATION
1-Jan-26	BOÑON	DOMINIQUE B.	HEAD, INTERNAL AUDIT	-	MAYBANK SECURITIES, INC.	RESIGNATION (no clearance required)
1-Jan-26	SUPNET	DANIELLA MARIE F.	INTERNAL AUDIT OFFICER	-	MAYBANK SECURITIES, INC.	RESIGNATION (no clearance required)
1-Jan-26	ALMADRONES	AGNES V.	TREASURY OFFICER	-	MAYBANK SECURITIES, INC.	RESIGNATION (clearance under process)
1-Jan-26	EUSTAQUIO	EDWARD JAN B.	-	SOFTWARE ENGINEER	PHILSTOCKS FINANCIAL, INC.	NEW EMPLOYMENT
1-Jan-26	CARCAMO	EFREIN BLEZEL A.	-	SOFTWARE QA	PHILSTOCKS FINANCIAL, INC.	NEW EMPLOYMENT
1-Jan-26	LIM	HOMER C.	CONSULTANT	-	MERCANTILE SECURITIES CORPORATION	RESIGNATION (no clearance required)
1-Jan-26	NACARIO	ANGELO C.	MESSENGER	-	MERCANTILE SECURITIES CORPORATION	RESIGNATION (did not apply for clearance)
1-Jan-26	MABIOG	PATRICIA MEI P.	ACCOUNTING ASSISTANT	-	MERCANTILE SECURITIES CORPORATION	RESIGNATION (clearance under process)
1-Jan-26	LABARRETE	MARK HENRICK M.	SENIOR IT MANAGER	-	CLSA PHILIPPINES, INC.	RESIGNATION (cleared)
1-Jan-26	CANSANA	BALTAZAR S.	AGENT	AGENT/ TRADER	ASIASEC EQUITIES, INC.	RE-DESIGNATION
1-Jan-26	SALVATIERRA	RENINA MARIE D.	TRAINEE	ACCOUNTING CLERK	RTG & CO., INC.	RE-DESIGNATION
31-Dec-25	CHENG	JUSTIN RICHMOND S.	CERTIFIED EQUITY SPECIALIST	-	COL FINANCIAL GROUP, INC.	RESIGNATION (clearance under process)
31-Dec-25	COLAYCO	KRISTINE MARIELLE M.	CERTIFIED EQUITY AND MUTUAL FUND SPECIALIST	-	COL FINANCIAL GROUP, INC.	RESIGNATION (clearance under process)

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31-Dec-25	DANDAN	KYLE JOSHUA C.	CERTIFIED EQUITY SPECIALIST	-	COL FINANCIAL GROUP, INC.	RESIGNATION (clearance under process)
31-Dec-25	DULALIA	VANNESSA R.	CERTIFIED EQUITY SPECIALIST	-	COL FINANCIAL GROUP, INC.	RESIGNATION (clearance under process)
31-Dec-25	LUCIANO	JOHN MARTIN L.	CERTIFIED EQUITY SPECIALIST	-	COL FINANCIAL GROUP, INC.	RESIGNATION (clearance under process)
31-Dec-25	LORENZO	SHEENA ANN K.	CERTIFIED MUTUAL FUND SPECIALIST	-	COL FINANCIAL GROUP, INC.	RESIGNATION (clearance under process)
31-Dec-25	PEÑA	EIRA DOMINIQUE V.	CERTIFIED MUTUAL FUND SPECIALIST	-	COL FINANCIAL GROUP, INC.	RESIGNATION (clearance under process)
31-Dec-25	LIM	EDWARD T.	TRADER/ SALESMAN	-	CNN SECURITIES, INC.	RESIGNATION (no clearance required)
31-Dec-25	CORPUS	ANTONIO MA. O.	AGENT	-	CAMPOS, LANUZA & COMPANY, INC.	RESIGNATION (no clearance required)
31-Dec-25	SY	JOHN BUN TIONG C.	AUTHORIZED SALESMAN	-	INVESTORS SECURITIES, INC.	RESIGNATION (cleared)
31-Dec-25	SESTOSO	JOSEPHINE A.	TRADER	-	UOB-KAY HIAN SECURITIES (PHILIPPINES), INC.	RESIGNATION (cleared)
31-Dec-25	YAC	JUSTINE AERON B.	SALESMAN (AGENT)	-	AB CAPITAL SECURITIES, INC.	RESIGNATION (cleared)
31-Dec-25	LAMMERSKOTTER	LARS RAPHAEL J.	SALESMAN (AGENT)	-	AB CAPITAL SECURITIES, INC.	RESIGNATION (cleared)
31-Dec-25	CORPUZ	DIANNE A.	SALESMAN (AGENT)	-	AB CAPITAL SECURITIES, INC.	RESIGNATION (cleared)
31-Dec-25	CERVANTES	CHRISTOPER G.	SALESMAN (AGENT)	-	AB CAPITAL SECURITIES, INC.	RESIGNATION (cleared)
31-Dec-25	OLAR	ANECITA R.	SALESMAN	-	VICSAL SECURITIES & STOCK BROKERAGE, INC.	RESIGNATION (cleared)
31-Dec-25	JAMIG	IRELYN	TRADING ASSISTANT	-	MANDARIN SECURITIES CORPORATION	RESIGNATION

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31-Dec-25	HIPOLITO	PHILIPPE R.	HEAD OF SETTLEMENTS	-	REGIS PARTNERS, INC.	RESIGNATION (clearance under process)
31-Dec-25	LACANILAO	FRANCES D.	PROJECT-BASED DOCUMENT SCANNING SPECIALIST	-	LANDBANK SECURITIES, INC.	RESIGNATION (no clearance required)
31-Dec-25	QUIOGUE	LINETH M.	PROJECT-BASED OFFICE ASSISTANT	-	LANDBANK SECURITIES, INC.	RESIGNATION (no clearance required)
30-Dec-25	IDOS	KURT RUSSEL M.	FUNDS MANAGEMENT OFFICER	-	FIRST METRO SECURITIES BROKERAGE CORPORATION	RESIGNATION (clearance under process)
30-Dec-25	ROJO	JOHN PAUL	ACCOUNTING CLERK	-	MDR SECURITIES, INC.	RESIGNATION (clearance under process)
29-Dec-25	CABACUNGAN	INZHAGI RAFAEL D.	RESEARCH ASSOCIATE	-	CHINA BANK SECURITIES CORPORATION	RESIGNATION (clearance under process)
29-Dec-25	BAGADIONG	NOEL ANGELO F.	TREASURY ASSOCIATE	-	PNB SECURITIES, INC.	RESIGNATION (cleared)
29-Dec-25	BACANI	TERINA BEATRICE S.	FINANCIAL REPORTING AND TAX SPECIALIST	-	UBS SECURITIES PHILIPPINES INC.	RESIGNATION (no clearance required)
29-Dec-25	DY	RAFAEL ELISEO G.	RESEARCH INTERN	-	UBS SECURITIES PHILIPPINES INC.	RESIGNATION (no clearance required)
29-Dec-25	UY	RYAN SAMUEL F.	EQUITY RESEARCH (OUTSOURCED)	-	J. P. MORGAN SECURITIES PHILIPPINES, INC.	RESIGNATION (no clearance required)
29-Dec-25	GRAMATA	FLORA MIKAELA B.	RESEARCH ANALYST	-	BDO SECURITIES CORPORATION	RESIGNATION (cleared)
26-Dec-25	PAZ	JUSTINE MAE R.	ADMINISTRATIVE ASSOCIATE	-	FIRST METRO SECURITIES BROKERAGE CORPORATION	RESIGNATION (clearance under process)
24-Dec-25	PUNO, JR.	FELIACIANO L.	MESSENGER	-	MDR SECURITIES, INC.	RESIGNATION
18-Dec-25	MANINGAT	EIRON S.	JUNIOR SOFTWARE QA ENGINEER	-	DRAGONFI SECURITIES INC.	RESIGNATION (cleared)
18-Dec-25	ELLO	MARK AIN JAMES P.	JUNIOR SOFTWARE QA ENGINEER	BUSINESS ANALYST	DRAGONFI SECURITIES INC.	RE-DESIGNATION

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17-Dec-25	POMUCENO	RICZ GUILLE I.	DOCUMENTATION ASSISTANT	-	ABACUS SECURITIES CORPORATION	RESIGNATION (clearance under process)
17-Dec-25	POMUCENO	RICZ GUILLE I.	DOCUMENTATION ASSISTANT	-	ABACUS SECURITIES CORPORATION	RESIGNATION (cleared)
17-Dec-25	MANUEL	AMALIA LOURDES R.	ASSOCIATED PERSON	SALESMAN	MDR SECURITIES, INC.	RE-DESIGNATION
16-Dec-25	MUNSOD	JANA D.	GENERAL CLERK	ACCOOUNTING ASSISTANT	MERCANTILE SECURITIES CORPORATION	RE-DESIGNATION
16-Dec-25	MENDOZA	JHOANNA MAE D.	-	TRADING ASSISTANT	MERCANTILE SECURITIES CORPORATION	NEW EMPLOYMENT
16-Dec-25	HONRADA	MARMAN JOHN PAUL V.	-	TRAINEE	MERCANTILE SECURITIES CORPORATION	NEW EMPLOYMENT
16-Dec-25	GARRIDO	JASMIN S.	-	ASSOCIATED PERSON	AP SECURITIES, INC.	NEW EMPLOYMENT
16-Dec-25	LAO	TRISTAN JAY G.	FINANCIAL ANALYST	-	CTS GLOBAL EQUITY GROUP, INC.	UPDATE: RESIGNATION (cleared)
13-Dec-25	SERRANO	ELMER B.	CORPORATE SECRETARY	-	BDO SECURITIES CORPORATION	RESIGNATION (cleared)
13-Dec-25	NGO	PERPETUA CALLIOPE C.	-	CORPORATE SECRETARY	BDO SECURITIES CORPORATION	NEW EMPLOYMENT
6-Dec-25	VAGILIDAD	RODD ANGELO D.	RESEARCH ANALYST	-	BDO SECURITIES CORPORATION	RESIGNATION (clearance under process)
3-Dec-25	CHUATICO	PAUL K.	INDEPENDENT DIRECTOR	-	LUNA SECURITIES, INC.	RESIGNATION (cleared)
3-Dec-25	DIAZ	FRANCIS PATRICK T.	DIRECTOR/ PRESIDENT/ SALESMAN	SALESMAN	LUNA SECURITIES, INC.	RE-DESIGNATION
3-Dec-25	WONG	GEORGE PETER C.	DIRECTOR/ TREASURER/ SALESMAN	SALESMAN	LUNA SECURITIES, INC.	RE-DESIGNATION
3-Dec-25	GATMAYTAN	MICHAEL JOHN P.	DIRECTOR/ CHAIRMAN/ NOMINEE/ SALESMAN	DIRECTOR/ CHAIRMAN/ NOMINEE/ PRESIDENT/	LUNA SECURITIES, INC.	RE-DESIGNATION

DATE OF EFFECTIVITY	LAST NAME	FIRST NAME	PREVIOUS POSITION	PRESENT POSITION	TRADING PARTICIPANT	REMARKS
3-Dec-25	VALERIO	JENNIFER D.	ACCOUNTING/ CASH SETTLEMENTS OFFICER	TREASURER/ ACCOUNTING/ CASH SETTLEMENTS OFFICER	LUNA SECURITIES, INC.	RE-DESIGNATION
3-Dec-25	CASTAÑOS	NATHANIEL PHILLIP D.	CSR EQUITIES TRADER	-	CTS GLOBAL EQUITY GROUP, INC.	UPDATE: RESIGNATION (cleared)
1-Dec-25	REGONDOLA	MARIA CRISTINA L.	-	ASSISTANT CORPORATE SECRETARY	SB EQUITIES, INC.	NEW EMPLOYMENT
1-Dec-25	LOPEZ	JOSEPH ANTHONY P.	CORPORATE SECRETARY	-	SB EQUITIES, INC.	RESIGNATION (replaced due to
29-Nov-25	GARCIA	MARIANNE F.	OPERATIONS ASSISTANT	-	COL FINANCIAL GROUP, INC.	UPDATE: RESIGNATION (cleared)
18-Nov-25	CAPULE	DANICA EUGENE F.	OPERATIONS SUPPORT ASSOCIATE (OUTSOURCED)	-	J. P. MORGAN SECURITIES PHILIPPINES, INC.	UPDATE: RESIGNATION (cleared)
27-Sep-25	TULBO	JERMAINE A.	GRAPHIC DESIGNER	-	COL FINANCIAL GROUP, INC.	UPDATE: RESIGNATION (cleared)